PTO/SB/21 (09-08) Approved for use through 10/31/2008. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

(E)			Application Number		llection of information unless it displays a valid OMB control number.  10/542,880			
76.3	TRANSMITTAL	Filing Date	September 29, 2005					
CL 0 3 TOPR W	FORM		First Named Inventor	Yasuyuki Kitayama 1626				
χ <sup>γ</sup>   <b>[</b> []			Art Unit					
( <b>*</b>	be used for all correspondence after initi	Examiner Name	Chu, Yong Liang					
Tota	l Number of Pages in This Submission		Attorney Docket Number	441P095				
		ENC	LOSURES (Check all	that apply	)			
	Fee Transmittal Form		Drawing(s)			After Allowance Communication to TC		
	Fee Attached		Licensing-related Papers	į		Appeal Communication to Board of Appeals and Interferences		
						Appeal Communication to TC		

Tota	al Number of	Pages in	This Submission			4417095					
ENCLOSURES (Check all that apply)											
	Fee Transmittal Form Fee Attached  Amendment/Reply After Final Affidavits/declaration(s)  Extension of Time Request Express Abandonment Request Information Disclosure Statement  Certified Copy of Priority Document(s) Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53			Drawing(s)  Licensing-related Papers  Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Address Terminal Disclaimer Request for Refund CD, Number of CD(s) Landscape Table on CD  Remarks		After Allowance Communication to TC  Appeal Communication to Board of Appeals and Interferences  Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)  Proprietary Information  Status Letter  Other Enclosure(s) (please Identify below):  -Form PTO-1449 -Copies of non US references cited - 3 -Copy of Taiwanese communication dated 7/18/08					
			SIGNA	TURE	OF APPLICANT, AT	TORNEY. C	R AG	ENT			
Firm N	ame	Nields 8	& Lemack								
Signat	ure		A)						-		
Printed	Printed name Kevin S. Lemack										
Date October 1, 2008					Reg. No.	32,579		-			
CERTIFICATE OF TRANSMISSION/MAILING											
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:  Signature											
Typed or printed name Kevin S. Lemack							Date	October 1, 2008			

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Applicant** 

Yasuyuki Kitayama et al.

Serial No.

10/542,880

Filed

September 29, 2005

For

NEAR-INFRARED ABSORBING COMPOUND AND NEAR-INFRARED

ABSORBING FILTER USING SAME

Examiner

Chu, Yong Liang

Art Unit

1626

Confirmation No:

Attorney

8386

Docket No.

441P095

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

## INFORMATION DISCLOSURE STATEMENT

The Examiner is respectfully requested to consider the document(s), which is/are listed on the attached form PTO 1449.

I hereby certify that each item of information contained in this information disclosure statement was first cited in any communication from the foreign office in a counterpart foreign application not more than three months prior to the filing of this statement. An English language version of the communication indicating the degree of relevance found by the foreign office is filed herewith.

JP2000-81511, EP1090910, JP2001-175185 and JP10-180947 were previously disclosed along with an Information Disclosure Statement filed on October 19, 2005 and are not being made part of this information disclosure statement because they are already of record.

Copies of the non U.S. Patent listed on the attached form are enclosed herewith.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on October 1, 2008

Signature: Kevin S. Lemack

Date: October 1, 2008

Respectfully submitted,

Kevin S. Lemack Attorney for Applicants Registration No. 32,579 Nields & Lemack

176 E. Main Street Westboro, MA 01581

TEL: 508-898-1818